

Seiko Epson Ref. No.: F005698US00/TP

Attorney's Ref. No.:

Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

私は、以下に記名された発明者として、ここに下記の通り宣言する:

As a below named inventor, I hereby declare that:

私の住所、郵便の宛先そして国籍は、私の氏名の後に記載された通りである。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明について特許請求範囲に記載され、且つ特許が求められている発明主題に関して、私が最初、最先且つ唯一の発明者である（唯一の氏名が記載されている場合）か、或いは最初、最先且つ共同発明者である（複数の氏名が記載されている場合）と信じている。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

インクジェット記録用インク組成物およびそれを含んでなるインクセット

INK COMPOSITIONS FOR INK-JET RECORDING AND INK SET COMPRISING THE SAME

上記発明の明細書はここに添付されているが、下記の欄がチェックされている場合は、この限りでない:

the specification of which is attached hereto unless the following box is checked:

- ☒ 2000年12月28日の日に出願され、
この出願の米国出願番号またはPCT国際出願番号は、
PCT/JP00/09437 であり、且つ
_____ の日に補正された出願（該当する場合）

- ☒ was filed on December 28, 2000
as United States Application Number or
PCT International Application Number
PCT/JP00/09437 and was amended on
_____ (if applicable).

私は、上記の補正書によって補正された、特許請求範囲を含む上記明細書を検討し、且つ内容を理解していることをここに表明する。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第37編規則1.56に定義されている、特許性について重要な情報を開示する義務があることを認める。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

Japan s Language Declaration (日本語宣言書)

私は、ここに、以下に記載した外国での特許出願または発明者証の出願、或いは米国以外の少なくとも一国を指定している米国法典第35編第365条(a)によるPCT国際出願について、同第119条(a)-(d)項又は第365条(b)項に基づいて優先権を主張するとともに、優先権を主張する本出願の出願日よりも前の出願日を有する外国での特許出願または発明者証の出願、或いはPCT国際出願については、いかなる出願も、下記の枠内をチェックすることにより示した。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

外国での先行出願

Priority Not Claimed

優先権主張なし

1999-375315

Japan

28/December/1999

(Number)
(番号)

(Country)
(国名)

(Day/Month/Year Filed)
(出願日/月/年)

☐

(Number)
(番号)

(Country)
(国名)

(Day/Month/Year Filed)
(出願日/月/年)

☐

私は、ここに、下記のいかなる米国仮特許出願についても、その米国法典第35編119条(e)項の利益を主張する。

I hereby claim the benefit under Title 35, United States Code, Section 119 (e) of any United States provisional application(s) listed below.

(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Application No.)
(出願番号)

(Filing Date)
(出願日)

私は、ここに、下記のいかなる米国出願についても、その米国法典第35編第120条に基づく利益を主張し、又米国を指定するいかなるPCT国際出願についても、その同第365条(c)に基づく利益を主張する。また、本出願の各特許請求の範囲の主題が米国法典第35編第112条第1段に規定された態様で、先行する米国特許出願又はPCT国際出願に開示されていない場合においては、その先行出願の出願日と本国内出願日またはPCT国際出願日との間の期間中に入手された情報で、連邦規則法典第37編規則1.56に定義された特許性に関わる重要な情報について開示義務があることを承認する。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365 (c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of application:

(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Status: Patented, Pending, Abandoned)
(現況: 特許許可、係属中、放棄)

(Application No.)
(出願番号)

(Filing Date)
(出願日)

(Status: Patented, Pending, Abandoned)
(現況: 特許許可、係属中、放棄)

私は、ここに表明された私自身の知識に係る陳述が真実であり、且つ情報と信ずることに基づく陳述が、真実であると信じられることを宣言し、さらに、故意に虚偽の陳述などを行った場合は、米国法典第18編第1001条に基づき、罰金または拘禁、若しくはその両方により処罰され、またそのような故意による虚偽の陳述は、本出願またはそれに対して発行されるいかなる特許も、その有効性に問題が生ずることを理解した上で陳述が行われたことを、ここに宣言する。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Japan se Language Declaration

(日本語宣言書)

委任状： 私は本出願を審査する手続を行い、且つ米国特許商標庁との全ての業務を遂行するために、記名された発明者として、下記の弁護士及び/または弁理士を任命する。(氏名及び登録番号を記載すること)

JOSEPH H. HANDELMAN, Reg. 26,179 JOHN RICHARDS, Reg. 31,053
RICHARD J. STREIT, Reg. 25,765 PETER D. GALLOWAY, Reg. 27,885
IAIN C. BAILLIE, Reg. 24,090 THOMAS F. PETERSON, Reg. 24,790

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith. (list name and registration number)

RICHARD P. BERG, Reg. 28,145 JULIAN H. COHEN, Reg. 20,302 WILLIAM R. EVANS, Reg. 25,858
JANET I. CORD, Reg. 33,778 CLIFORD J. MASS, Reg. 30,086
CYNTHIA R. MILLER, Reg. 34,678

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直接電話連絡先: (名前及び電話番号)

Direct Telephone Calls to: (name and telephone number)

LADAS & PARRY
(212) 708-1930

唯一または第一発明者名

矢竹正弘

Full name of sole or first inventor

Masahiro YATAKE

発明者の署名

矢竹正弘

日付

2001年8月7日

Inventor's signature

Masahiro Yatake

Date

August 7, 2001

住所

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第二共同発明者

Full name of second joint inventor, if any

第二共同発明者の署名

日付

Second inventor's signature

Date

住所

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日本

Citizenship

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Post Office Address

(第三以下の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for third and subsequent joint inventors.)

Practitioner's Docket No. U013615-2

IN THE UNITED STATES DESIGNATED OFFICE (DO/US)

<u>PCT/JP00/09437</u>	<u>28 DECEMBER 2000</u>	<u>28 DECEMBER 1999</u>
INTERNATIONAL APPLICATION NO.	INTERNATIONAL FILING DATE	PRIORITY DATE CLAIMED

INK COMPOSITION FOR INK-JET RECORDING AND INK SET COMPRISING THE SAME
TITLE OF INVENTIONMASAHIRO YATAKE
APPLICANT(S)

Box PCT
 Assistant Commissioner for Patents
 Washington, D.C. 20231
 ATTENTION: DO/US

**COVER SHEET FOR ASSIGNMENT (37 C.F.R. § 1.331) FOR
 INTERNATIONAL APPLICATION ENTERING NATIONAL STAGE
 IN U.S. DESIGNATED OFFICE (DO/US) UNDER 35 U.S.C. § 371**

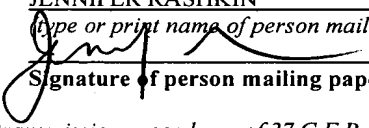
IDENTIFICATION OF APPLICATION (37 C.F.R. § 3.21 and 37 C.F.R. § 3.31(a)(4))

1. The patent application to which the attached assignment (document) refers, is identified as follows:
 - a. Date of execution: AUGUST 7, 2001
 - b. Name of each inventor:
 - 1: MASAHIRO YATAKE
 - 2:
 - 3:
 - c. Title of invention: INK COMPOSITION FOR INK-JET RECORDING AND INK SET
COMPRISING THE SAME

NOTE: See 37 C.F.R. § 3.21.

CERTIFICATION UNDER 37 C.F.R. § 1.10*
(Express Mail label number is mandatory.)
(Express Mail certification is optional.)

I hereby certify that this "Cover Sheet for Assignment (Document) Accompanying Application Entering National Phase", along with any document referred to, is being deposited with the United States Postal Service on this date August 28, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL728214787US addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231.

JENNIFER RASHKIN*(Type or print name of person mailing paper)*
Signature of person mailing paper

WARNING: Certificate of mailing (first class) or facsimile transmission procedures of 37 C.F.R. § 1.8 cannot be used to obtain a date of mailing or transmission for this correspondence.

***WARNING:** Each paper or fee filed by "Express Mail" **must** have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. § 1.10(b).
 "Since the filing of correspondence under § 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will **not** be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442

NAME OF PARTY(IES) CONVEYING INTEREST (37 C.F.R. § 3.31(a)(1))

2. The party(ies) conveying this interest is (are):

Name 1: MASAHIRO YATAKE

Name 2: _____

Name 3: _____

NAME AND ADDRESS OF PARTY(IES) RECEIVING INTEREST

(37 C.F.R. § 3.31(a)(2))

3. The rights are being conveyed to:

Name: SEIKO EPSON CORPORATION

Address: 4-1 NISHI-SHINJUKU

2-CHOME, SHINJUKU-KU, TOKYO JAPAN

DESCRIPTION OF INTEREST CONVEYED OR TRANSACTION RECORDED

(37 C.F.R. § 3.31(a)(3))

4. The accompanying document intends to accomplish:

☒ an assignment.

☐ a security agreement.

☐ a license.

☐ a merger.

☐ a change of name.

☐ a change of address.

☐ other.

NAME AND ADDRESS OF PARTY TO WHOM CORRESPONDENCE

SHOULD BE MAILED (37 C.F.R. § 3.31(a)(5))

5. Please address correspondence to:

Name: WILLIAM R. EVANS

Address: LADAS & PARRY

26 WEST 61ST STREET

NEW YORK, NY 10023

Telephone No.: (212) 708-1930

DATE ASSIGNMENT (DOCUMENT) EXECUTED (37 C.F.R. § 3.31(a)(7))

6. A. ☒ The attached assignment (document) was executed on AUGUST 7, 2001 .
Date

OR *If there is more than one conveying party who executed on different dates, indicate the date of execution of the assignment document for each conveying party.*